

RESOLUTION No. 13 for 2015

BE IT RESOLVED by authority of the Board of Commissioners of the County of Clarion, Pennsylvania and it is hereby resolved by authority of the same, that Wayne R. Brosius, G. Butch Campbell, and Gregory A. Faller of said County be authorized and directed to sign the attached Pennsylvania Department of Transportation Policy and Procedure for Consultant Selection on its behalf.

ATTEST:

County of Clarion

BY Carol A. Clinger
Carol A. Clinger/Chief Clerk

BY Wayne R. Brosius
Wayne R. Brosius, Chairman

G. Butch Campbell
G. Butch Campbell, Commissioner

Gregory A. Faller
Gregory A. Faller, Commissioner

I, Carol A. Clinger, Chief Clerk for the Commissioners of the County of Clarion, do hereby certify that the foregoing is a true and correct copy of the Resolution adopted at the regular public meeting of the Clarion County Commissioners, held the 28th day of July, 2015.

Date: July 28, 2015

Carol A. Clinger
Carol A. Clinger/Chief Clerk



POLICY AND PROCEDURE FOR CONSULTANT SELECTION

The following procedures have been adopted by the County of Clarion as authorized by action of the County Commissioners on 07/28/2015. These procedures shall be used for the orderly determination of the need to use consulting engineering firms, qualification and selection of firms, and general administration and monitoring of the engineering agreements.

Name of Municipality

Mayor, Supervisors, Council, etc.

date

Upon being notified of the need to initiate engineering services on a project, the Clarion County Engineer shall analyze the Clarion County forces to determine if the services of a consulting firm are necessary. The analysis regarding the need to engage consultants will be documented in the project file. (If the Municipality has no capability to provide in-house engineering services, this paragraph should be revised or deleted accordingly).

Director of Public Works, Municipal Engineer, etc.

Municipality

A detailed scope of work, describing the project, its location, and services required, will be prepared (if the Municipality, Township, etc., has the capability to prepare an engineering cost estimate, one should be prepared to compare with the consultant's proposal).

An advertisement including the DBE Goal Request will be submitted in ECMS to PennDOT's Contract Management Section. Advertising the request for statements of interest from consulting firms interested in performing the required engineering services is governed by municipal Home Rule Charters, codes or statutes. The advertisement may need to appear in at least one newspaper of general circulation.

The advertisement will include the following information:

- a) Location and brief description of the required engineering services.
- b) Indication of the method of procurement as competitive negotiations;
- c) A statement that the County of Clarion encourages responses from small firms, minority firms, and firms who have not previously performed work for the County of Clarion.
- d) The Disadvantaged Business Enterprise Goal, if any. Or nondiscrimination provisions to encourage the prime to notify DBE subconsultants of contracting opportunities associated with the agreement and solicit their participation, if DBE Goals are not required for the agreement.
- e) A statement that indicates whether the modified or normal selection method will be used.
- f) A list, in order of importance, of the selection criteria against which the statements of interest will be reviewed.
- g) A requirement that responding consultants must be registered business partners in ECMS with their qualification packages submitted prior to the submission of the SOI.
- h) Contact information for project discussions.
- i) Cut-off time for response to the advertisement (minimum of two weeks).

Note: For a Municipal Engineer advertisement, the Municipality has the option of submitting their advertisements for approval electronically in ECMS or by email, for newspaper advertising, as required by their Home Rules Charters, and for publishing paper advertisements in ECMS to ECMS_Local_Advertisements@pa.gov.



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Three consultants will be selected from those consultants who submit statements of interest. A qualification selection committee, consisting of a minimum of three people:

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| a. <u>County Commissioner</u>
Selection Committee title | or designee, | c. <u>Chief Clerk</u>
Selection Committee title | or designee, |
| b. <u>County Commissioner</u>
Selection Committee title | or designee, | d. <u>PennDOT Representative</u>
Selection Committee title | or designee |

shall review the qualifications of consultants who submit statements of interest as well as their responsiveness to the requirements of the advertisement. Documentation of consultants considered and the committee's recommendation shall be maintained in the project file. The committee shall document the reasons for their recommendation.

For selecting a consultant to perform bridge inspection, construction inspection agreements, or noncomplex or selected moderately complex projects as defined in DM1, or for selecting a consultant as a Municipal Engineer a modified process may be used, and a ranking will be determined based on a review of the statements of interest.

For all other Agreements the normal selection method will be used. The County Commissioners
Mayor, Director of Public Works, Council, etc. shall review the recommendations of the qualification committee and select three firms to be recommended to the Pennsylvania Department of Transportation (hereinafter called the Department) as the consultants to prepare a proposal. Upon receipt of the approval of the consultants from the Department, a technical proposal shall be requested from the consultants.

The request for technical proposals shall include a brief written scope of work. The consultants will be invited to a scope of work meeting at which time the project will be explained in detail. Representatives from the Department will be invited to the meeting. The consultants will be advised of the applicable Federal regulations, review procedures, contract format, and administration. A copy of the Department's Publication 442 will be supplied to the consultants with the understanding that the specifications will be made a part of the contract. The County of Clarion
Municipality limitations of profit, wages, etc., will be explained. The consultants will be given a name and phone number to contact in case they would have any questions during the preparation of their proposal.

Upon receipt of the technical proposals from the consultants, the qualification committee shall review the technical proposals and make a recommendation for the ranking of the shortlisted consultants for the purpose of negotiating an engineering agreement. The committee shall document the reasons for their recommendation.

The Municipality shall conduct discussions with the firms to consider anticipated concepts and compare alternative methods for furnishing services.

For both modified and normal methods of selection, the County Commissioners
Mayor, Council, Board of Supervisors, etc. shall review the recommendation of the qualification committee and rank, in order of preference, the firms. The ranking will be recommended to the Department for approval. Documentation supporting the ranking of the consultants shall be forwarded to the Department when requesting approval of the consultant's ranking. The Department shall approve and/or comment on the municipalities recommended ranking.



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The municipality will request a price proposal from the approved first ranked firm and submit the appropriate number of copies to the Department.

The Department will conduct the pre-award evaluation and schedule and hold negotiations, if necessary.

The agreement will be prepared by the Department and circulated for signatures.

It is understood that the consultant cannot begin work until the Federal authorization has been obtained, and both the engineering Agreement and the Reimbursement Agreement have been fully executed, and notification of this fact has been received by the County of Clarion Municipality.

The County Commissioners Mayor, Council, Board of Supervisors, etc. will designate a person to perform liaison activities between the County of Clarion Municipality, the Department, and the consultant.

The County of Clarion Municipality will enter into a reimbursement agreement with the Department setting forth the methods for reimbursing the federal funds to the County of Clarion Municipality. The reimbursement agreement will be prepared by the Department.

During the life of the engineering agreement, monthly (or at other appropriate times) meetings will be held with the consultant and the designated liaison person. The Department will be invited to attend these meetings. Documentation of these meetings will be included in the project file.

Partial payment invoices for work performed will be processed as provided by Publication 442. After review of the invoices by the Chief Clerk responsible individual of the Municipality, it will be paid. The invoice will in turn be forwarded to the Department with recommendation for reimbursement of the Federal and/or State share.

Prior to termination of services and payment of the final invoice, a joint review will be made by the Department and the County of Clarion Municipality to insure the propriety of claims and that all terms and conditions of the contract have been satisfied. Documentation of these findings will be submitted to the Department with the final invoice.

The County of Clarion Municipality's designated liaison person will complete copies of the Form D-429, "Past Performance Report for Consultant Engineers", see Appendix 7F or Form D-429 CI, "Past Performance Report for Consultant Engineers Construction Inspection", see Appendix 7G.

It is understood and made part of these procedures that the employees of the County of Clarion Municipality will neither solicit nor accept gratuities, favors, or anything of monetary value from consultants or contractors or potential consultants or contractors. Violators of said standards will be subject to dismissal from their employment with the County of Clarion Municipality, by order of the County Commissioners Mayor, Council, Board of Supervisors, etc.)

Now, Therefore Be It Resolved that the County Commissioners Mayor, Supervisors, Etc. of the County of Clarion Municipality have adopted these policies and procedures for engineering by consultants and will adhere to the rules and regulations of the Department.



POLICY AND PROCEDURE FOR CONSULTANT SELECTION

NOW, THEREFORE BE IT FURTHER RESOLVED that the County Commissioners this 28th day of July, 20 15, on behalf of the County of Clarion Municipality hereby accept the aforesaid policies and procedures.

UPON MOTION DULY MADE and seconded, the above resolution as adopted the day and year set forth above.

ATTEST:

County of Clarion
Municipality

BY Carol A. Clinger

BY Wayne R. Brosius, G. Butch Campbell, Gregory A. Faller

Carol A. Clinger

Wayne R. Brosius; G. Butch Campbell; Gregory A. Faller

Title Clarion County Chief Clerk/County Administrator

Title Clarion County Board of Commissioners